

# **CONTINUING (1.53(b)) UTILITY PATENT APPLICATION TRANSMITTAL**

(Only for continuing applications under 37 CFR 1.53(b))

Attorney Docket No.  
1293.1278C3

First Named Inventor:  
Yong-jin AHN et al.

Title:

METHOD OF AND APPARATUS FOR RECORDING  
DATA ON OPTICAL RECORDING MEDIUM

Express Mail Label No.

## **APPLICATION ELEMENTS**

See MPEP chapter 600  
concerning utility  
patent application contents.

## ADDRESS TO:

**Commissioner for Patents  
Box Patent Application  
PO Box 1450  
Alexandria, VA 22313-1450**

1. ☒ Fee Transmittal Form
2. ☒ Specification, Claims & Abstract ..... [Total Pages: 19]
3. ☒ Drawing(s) (35 USC 113) ..... [Total Sheets: 18] [FIGS. 1A, 1B, 1C, 1D, 1E, 2, 3, 4A, 4B, 4C, 5A, 5B, 5C, 6A, 6B, 6C, 6D, 6E, 7A, 7B, 7C, 7D, 8A, 8B, 8C, 9A, 9B, 9C, 10A, 10B, 10C, 11A, 11B, 12A, 12B, 12C, 13A, 13B, 14A, 14B, 15, 16A, 16B, 17A, 17B, 17C, 18A, 18B, 19A, 19B, 20, 21]
4. ☒ Oath or Declaration ..... [Total Pages: 2]
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 CFR 1.63(d))
5. ☐ This application is filed by fewer than all the inventors named in the prior nonprovisional application.
  - a. ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:
  - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
6. ☐ Verified Statement Claiming Small Entity Status
7. ☒ Incorporation by Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application (identified in Item 21), from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
8. ☒ Applicant claims foreign priority benefit to: Republic of Korea Application 2001-61039 filed September 29, 2001  
Republic of Korea Application 2001-80541 filed December 18, 2001
9. ☐ Application Data Sheet. See 37 C.F.R. 1.76
10. ☐ CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)
11. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statement verifying identity of above copies
12. ☐ Assignment to Samsung Electronics Co., Ltd. of Suwon-Si, Republic of Korea at Reel 013598, Frame 0393  
☐ for publication of assignee information under 37 CFR 1.215(b)

## **ACCOMPANYING APPLICATION PARTS**

13. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
14. ☐ English Translation Document (if applicable)
15. ☒ Foreign priority benefit under 35 U.S.C. §119 is claimed.
  - a. ☒ Certified Copy of Priority Document(s) filed in prior application No. 10/256,244.
  - b. ☐ Certified Copy of Priority Document(s) enclosed.
  - c. ☐ Certified Copy of Priority Document(s) to follow.
16. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
17. ☒ Preliminary Amendment
  - a. ☐ enclosed herewith.
  - b. ☒ incorporated herein (see Box 21).
18. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
19. ☐ Request and Certification for Nonpublication under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
20. ☐ Other:

NEW APPLICATION  
FEE TRANSMITTAL

Attorney Docket No. 1293.1278C3

Application Number

Filing Date March 23, 2004

AMOUNT ENCLOSED

\$ 860.00

First Named Inventor

Yong-jin AHN, et al.

## FEE CALCULATION (fees effective 10/01/01)

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS	25	- 20 =	5	X \$ 18.00 =	\$ 90.00
INDEPENDENT CLAIMS	2	- 3 =	0	X \$ 86.00 =	0.00
MULTIPLE DEPENDENT CLAIMS (any number; if applicable)				+ \$290.00 =	
				BASIC FILING FEE	770.00
				Total of above	\$ 860.00
				Calculations =	
Surcharge for late filing fee, Oath or Declaration (37 CFR 1.53(f)) (\$130.00)					
Reduction by 50% for filing by small entity (37 CFR 1.27).					
				TOTAL FILING FEE =	\$ 860.00
Surcharge for filing non-English language application (\$130.00; 37 CFR 1.52(d))					
Recordation of Assignment (\$40.00; 37 CFR 1.21(h))					
				TOTAL FEES DUE =	\$ 860.00

## METHOD OF PAYMENT

- ☒ Check enclosed as payment.
- ☐ Charge "TOTAL FEES DUE" to the Deposit Account No. below.
- ☐ No payment is enclosed and no charges to the Deposit Account are authorized at this time (unless specifically required to obtain a filing date).

## GENERAL AUTHORIZATION

- ☒ If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees necessary to:

Deposit Account No.

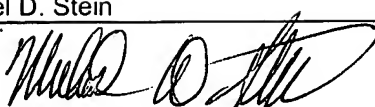
19-3935

Deposit Account Name

STAAS &amp; HALSEY LLP

- ☒ The Commissioner is also authorized to credit any overpayments or charge any additional fees required under 37 CFR 1.16 (filing fees) or 37 CFR 1.17 (processing fees) during the prosecution of this application, including any related application(s) claiming benefit hereof pursuant to 35 USC 120 (e.g., continuations/divisionals/CIPs under 37 CFR 1.53(b) and/or continuations/divisionals/CPAs under 37 CFR 1.53(d)) to maintain pendency hereof or of any such related application.

SUBMITTED BY: STAAS &amp; HALSEY LLP

Typed Name	Michael D. Stein	Reg. No.	37,240
Signature		Date	March 23, 2004

**21. CONTINUING APPLICATION**, check appropriate box and supply the requisite information below:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: 10/256,244.

Prior application information: Examiner:  
Group/Art Unit: 2651

**Preliminary Amendment:**

In accordance with the foregoing, the specification has been amended to reference the parent application and to improve form. Original claims 1- 21, and 46 - 59 from the parent application have been cancelled without prejudice or disclaimer, and claims 22 - 45 have been renumbered as claims 1 – 24 for the purposes of publication, and claim 25 has been newly added. The newly added claim is supported by the application as filed, for example, see paragraphs [0047] and [0049] of the specification, and FIGS. 4C, 5C, 6B – 6E, 7B, and 7D. No new matter is presented.

**22. NEW CORRESPONDENCE ADDRESS \* CUSTOMER NO. 21,171****21171**

PATENT TRADEMARK OFFICE

**23. SIGNATURE OF ATTORNEY OR AGENT**

NAME	Michael D. Stein	REGISTRATION NO.	37,240
SIGNATURE		DATE	March 23, 2004

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Trademark Office

March 23, 2004

**VIA FACSIMILE & E-mail**  
**Originals w/ Enclosures Via Airmail**

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Re: U.S. Patent Application  
Serial No.:  
For: METHOD OF AND APPARATUS FOR RECORDING DATA ON  
OPTICAL RECORDING MEDIUM  
Inventor(s): Yong-jin Ahn et al.  
Your Reference: SH-16538-US  
Our Docket: 1293.1278C6

Dear Mr. Kim:

Thank you for your letter of February 20, 2004. Enclosed is a copy of a Continuation Application that we prepared and filed in the United States Patent and Trademark Office on March 23, 2004. Copies of the transmittal papers are enclosed.

Claims 1-3 are newly added per your instructions. The other 5 continuation applications will be reported under separate cover.

We will keep you apprised of further developments in this case as they occur.

Very truly yours,

Michael D. Stein

MDS/SWC:lep  
Enclosures: (including debit memo w/ confirmation copy)